

PCT REQUEST

Original (for SUBMISSION)

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT-SAFE [EASY mode] Version 3.50 (Build 0002.163)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	PR60552WO
I	Title of Invention	ENZYME-CATALYZED DYNAMIC KINETIC RESOLUTION PROCESS FOR PREPARING (+)-(2S, 3S)-2-(3-CHLOROPHENYL)-3,5,5-TRIMETHYL-2-MORPHOLINOL, SALTS, AND SOLVATES THEREOF
II	Applicant	
II-1	This person is	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	SMITHKLINE BEECHAM CORPORATION
II-5	Address	One Franklin Plaza PO Box 7929 Philadelphia, Pennsylvania 19101 United States of America
II-6	State of nationality	US
II-7	State of residence	US
II-8	Telephone No.	919-483-2370
II-9	Facsimile No.	919--483-7988

PCT REQUEST

Original (for SUBMISSION)

III-1	Applicant and/or inventor	
III-1-1	This person is	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	PARTRIDGE, John, Joseph
III-1-5	Address	GlaxoSmithKline Five Moore Drive PO Box 13398 Research Triangle Park, North Carolina 27709 United States of America
III-1-6	State of nationality	US
III-1-7	State of residence	US
IV-1	Agent or common representative; or address for correspondence	
	The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	LEVY, David, J
IV-1-2	Address	GlaxoSmithKline Five Moore Drive PO Box 13398 Research Triangle Park, North Carolina 27709 United States of America
IV-1-3	Telephone No.	919-483-2370
IV-1-4	Facsimile No.	919-483-7988
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	DEPPENBROCK, Bonnie, L
V	DESIGNATIONS	
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.	
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	27 October 2003 (27.10.2003)
VI-1-2	Number	60/514,694
VI-1-3	Country	US

PCT REQUEST

Original (for SUBMISSION)

VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	
IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	4	✓
IX-2	Description	31	-
IX-3	Claims	6	-
IX-4	Abstract	1	✓
IX-5	Drawings	0	-
IX-7	TOTAL	42	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-11	Copy of general power of attorney	reference no. SB Corp	-
IX-17	PCT-SAFE physical media	-	✓
IX-18	other	Copy of POA authorizing D. Levy signature	
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	

PCT REQUEST

Original (for SUBMISSION)

X-1	Signature of applicant, agent or common representative	
X-1-1	Name (LAST, First)	DEPPENBROCK, Bonnie, L
X-1-2	Name of signatory	
X-1-3	Capacity	<i>Bonnie L. Deppenbrock</i>

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10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

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11-1	Date of receipt of the record copy by the International Bureau	
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